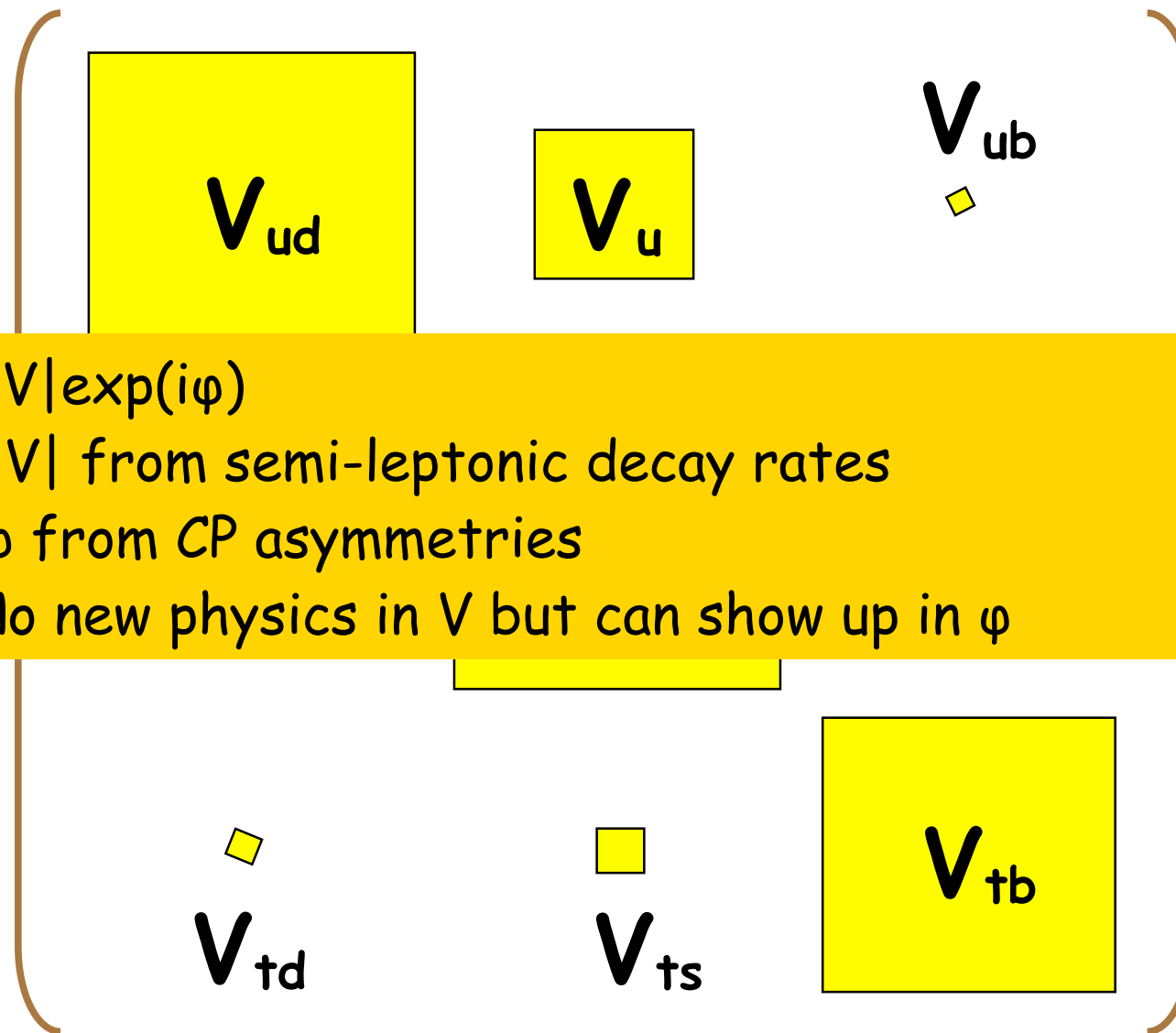


# CKM elements from semileptonic B decays

E.Barberio, University of Melbourne  
La Thuille February 2008

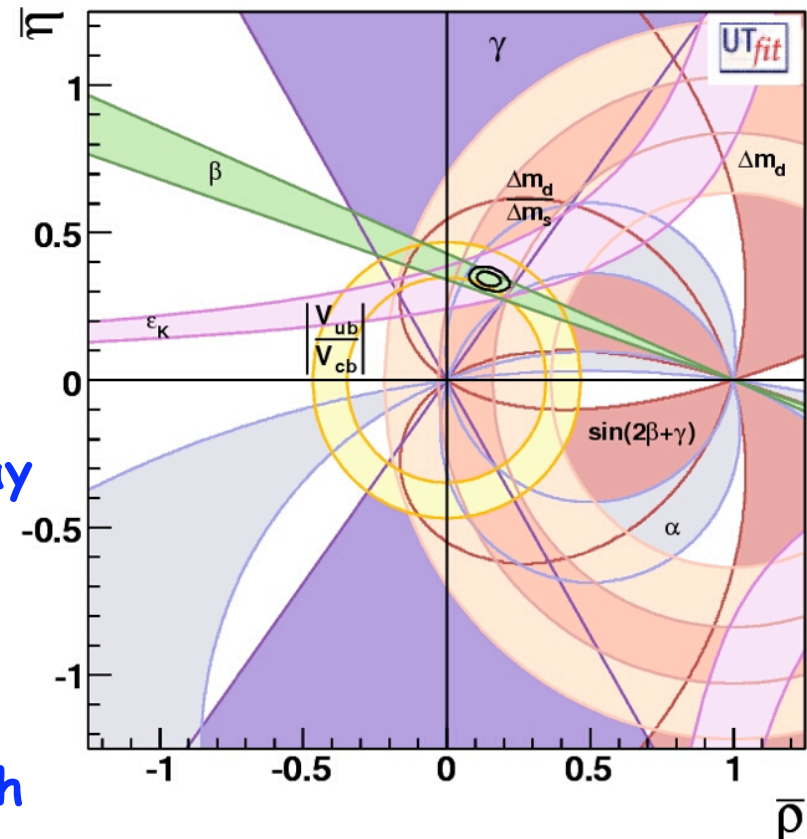


- $V = |V| \exp(i\varphi)$ 
  - $|V|$  from semi-leptonic decay rates
  - $\varphi$  from CP asymmetries
  - No new physics in  $V$  but can show up in  $\varphi$

They are fundamental parameters of the Standard Model and cannot be predicted

# New Physics?

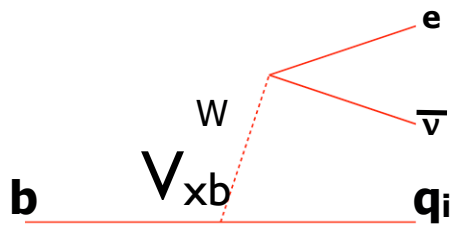
- exploit the unitarity constraint to look for new physics → geometrical relation between CKM elements:
  - angle from CP asymmetries
  - size from  $V$
- New precision era where new physics may appear as a few percent disagreement:
- Large new physics contributions to penguins would have already been seen.
- New physics contributions to decays such as  $B \rightarrow \tau \nu$  is still open (e.g. minimum flavour violation)



# Semileptonic decays

tree level, short distance:

$$B \rightarrow X_i l \nu$$

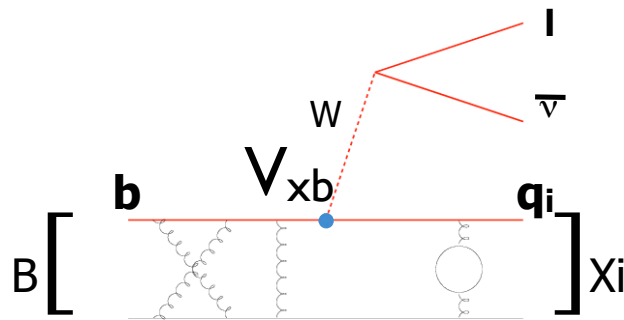


decay properties depend directly on  $|V_{xb}|$  and  $m_b$  in perturbative regime ( $\alpha_s^n$ )

# Semileptonic decays

tree level, short distance:

$$B \rightarrow X_i l \nu$$



+ long distance:

decay properties depend directly on  $|V_{xb}|$  and  $m_b$  in perturbative regime ( $\alpha_s^n$ )

But quarks are bound by soft gluons: non-perturbative long distance interactions of b quark with light quark

two way to go:

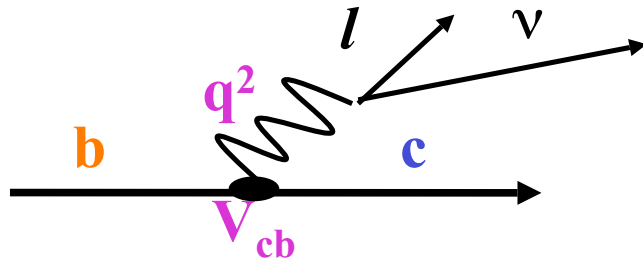
- Measure exclusive states
- Measure inclusively  $b \rightarrow X l \nu$  spectrum

$V_{cb}$

# $V_{cb}$ exclusive $B^- \rightarrow D^{*0} e^- \nu$

$$B^- \rightarrow D^{*0} e^- \nu; D^* \rightarrow \pi^0 D^0$$

$$\frac{d\Gamma(B \rightarrow D^* l \nu)}{dw} = K(w) F^2(w) |V_{cb}|^2$$

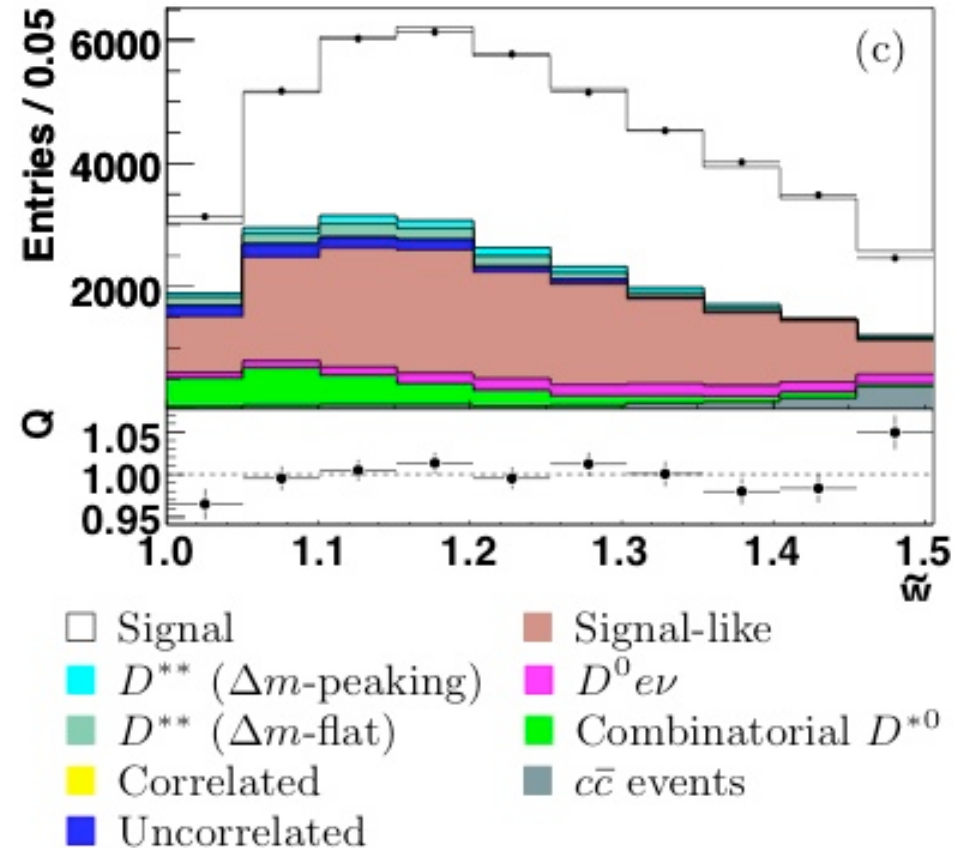


$$w = \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}}$$

$$F(1) \cdot |V_{cb}| = (35.9 \pm 0.6 \pm 1.4) \cdot 10^{-3},$$

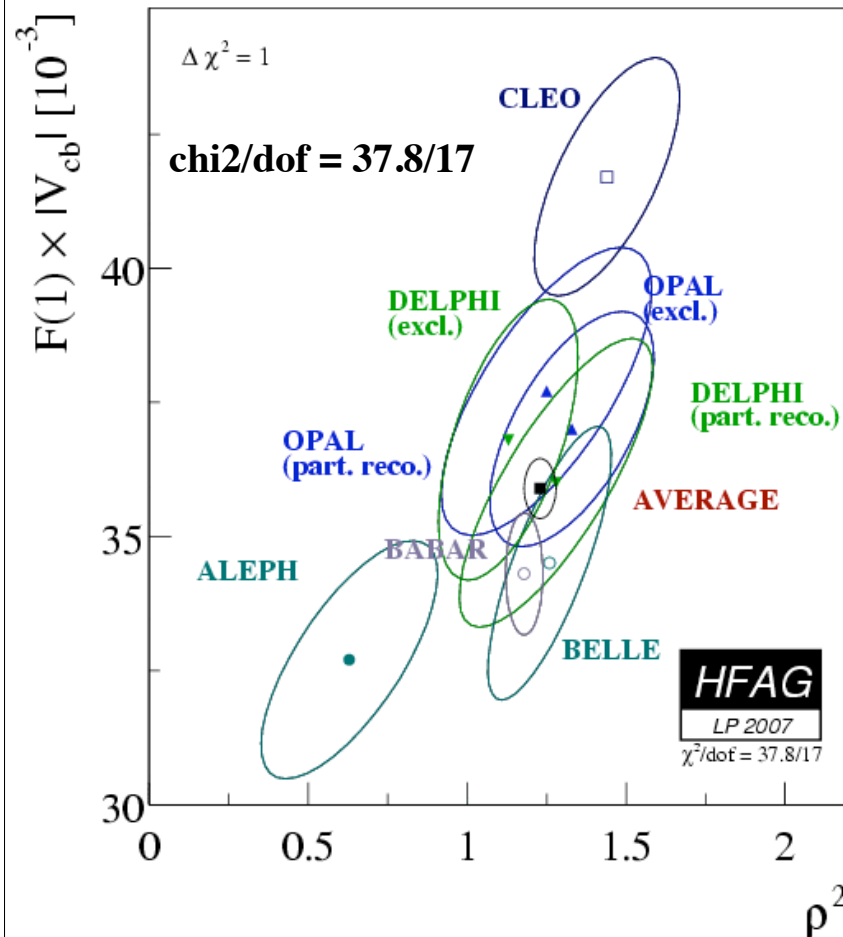
$$\rho_{A_1}^2 = 1.16 \pm 0.06 \pm 0.08,$$

$$\mathcal{B}(B^- \rightarrow D^{*0} e^- \bar{\nu}_e) = (5.56 \pm 0.08 \pm 0.41)\%.$$



Main physics background  $B \rightarrow D^{**} l \nu$

$$F(1)|V_{cb}|$$



$$F(1)|V_{cb}| = (35.9 \pm 0.6) 10^{-3} \quad \rho_A^2 = 1.23 \pm 0.05$$

From  $F(1) = 0.919 \pm 0.033$ :

$$|V_{cb}| = (39.1 \pm 0.65 \pm 1.4) 10^{-3}$$

error is dominated by the lattice calculation, no improvement in the near future

$B \rightarrow D^0 l \nu$  has a small theoretical error but it's more difficult experimentally and very few measurement

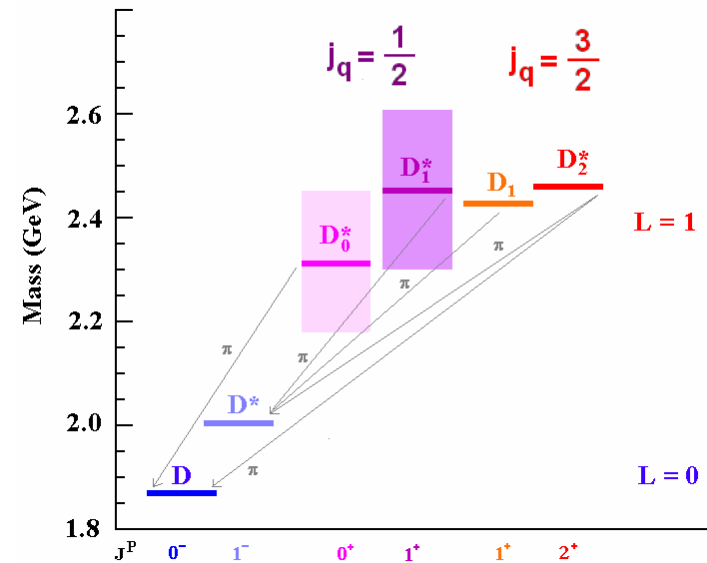
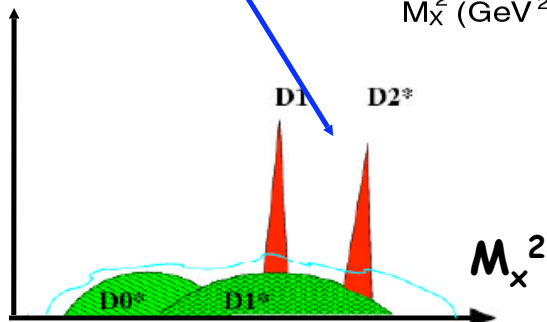
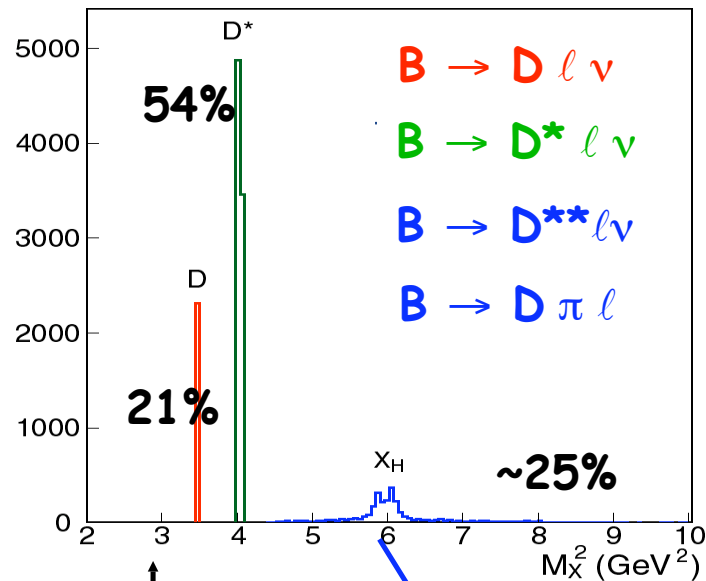
HFAG average uses  $R_1, R_2$  from BaBar  
this decrease  $F(1)|V_{cb}|$



# Hadronic $X_c$ system

	$B^0$ BR(%)	$B^+$ BR(%)
inc.- $\Sigma$ excl.	$2.22 \pm 0.38$	$1.27 \pm 0.37$

PDG&HFAG& Babar & Belle



Grounds states      Broad states      Narrow states

Heavy Quark Symmetry predicts  
 $\text{Br}(B \rightarrow \text{Narrow } \ell) \gg \text{Br}(B \rightarrow \text{Broad } \ell)$

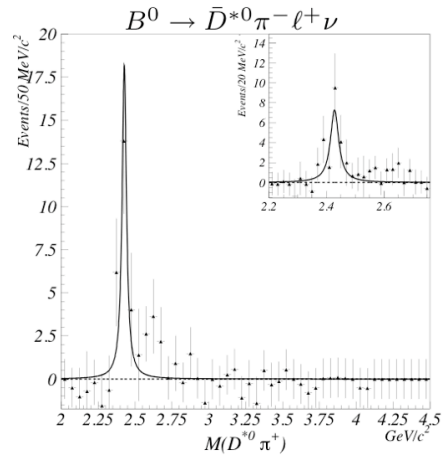
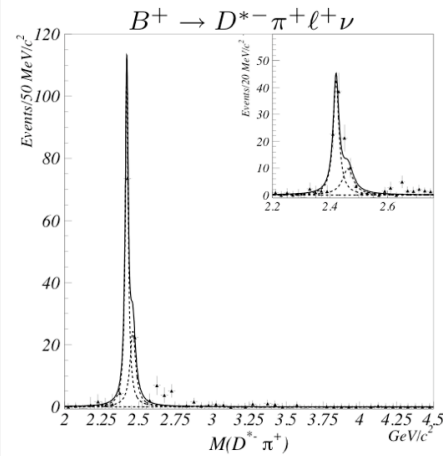
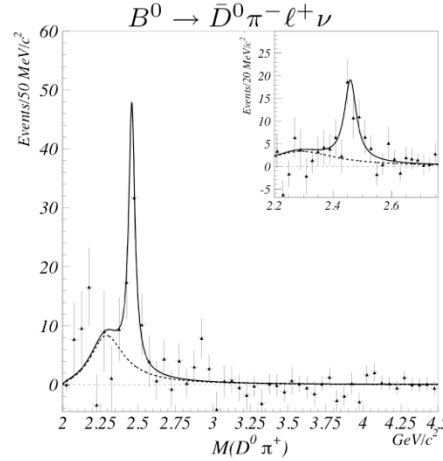
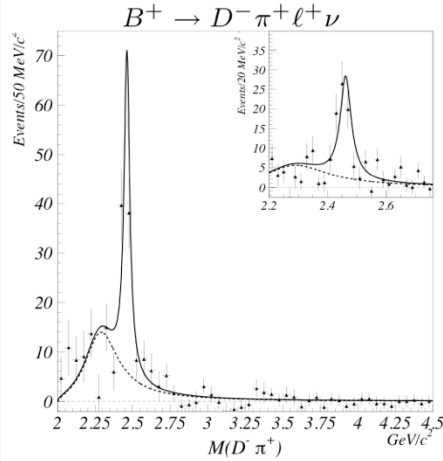
Experiment seems to point towards the  
 opposite !!!!



# Hadronic $X_c$ system

Theory predicts the rate of broad  $D^*_{s0}$   
~ 10 times smaller than narrow  $D^*_{s1}$

Belle measure comparable branchings  
fractions



$$\mathcal{B}(\text{mode}) \equiv \mathcal{B}(B \rightarrow D^{**} \ell \nu) \times \mathcal{B}(D^{**} \rightarrow D^{(*)} \pi^+)$$

$D\pi$  invariant mass study

Mode	Yield	$\mathcal{B}$ , %	Signif.
$B^+ \rightarrow D_0^{*0} \ell^+ \nu$	$102 \pm 19$	$0.24 \pm 0.04 \pm 0.06$	5.4
$B^+ \rightarrow \bar{D}_2^{*0} \ell^+ \nu$	$94 \pm 13$	$0.22 \pm 0.03 \pm 0.04$	8.0
$B^0 \rightarrow D_0^{*-} \ell^+ \nu$	$61 \pm 22$	$0.20 \pm 0.07 \pm 0.05$	2.6
$B^0 \rightarrow D_2^{*-} \ell^+ \nu$	$68 \pm 13$	$0.22 \pm 0.04 \pm 0.04$	5.5

$D^* \pi$  invariant mass study

Mode	Yield	$\mathcal{B}$ , %	Signif.
$B^+ \rightarrow \bar{D}_1^{\prime 0} \ell^+ \nu$	$-5 \pm 11$	$< 0.07$ @ 90% C.L.	
$B^+ \rightarrow \bar{D}_1^0 \ell^+ \nu$	$81 \pm 13$	$0.42 \pm 0.07 \pm 0.07$	6.7
$B^+ \rightarrow \bar{D}_2^0 \ell^+ \nu$	$35 \pm 11$	$0.18 \pm 0.06 \pm 0.03$	3.2
$B^0 \rightarrow D_1^{\prime -} \ell^+ \nu$	$4 \pm 8$	$< 0.5$ @ 90% C.L.	
$B^0 \rightarrow D_1^- \ell^+ \nu$	$20 \pm 7$	$0.54 \pm 0.19 \pm 0.09$	2.9
$B^0 \rightarrow D_2^{*-} \ell^+ \nu$	$1 \pm 6$	$< 0.3$ @ 90% C.L.	

# $V_{cb}$ from inclusive semileptonic decays

$$\Gamma_{sl}(b \rightarrow c \ell^- \bar{\nu}) = \gamma_{th} |V_{cb}|^2 = \frac{\text{BR}(b \rightarrow c \ell^- \bar{\nu})}{\tau_b} \quad \text{exp. } \Delta|V_{cb}| < 1\%$$

$\Gamma_{sl}$  described by Heavy Quark Expansion in  $(1/m_b)^n$  and  $a_s^k$

$$\Gamma(B \rightarrow X_c \ell \bar{\nu}) = \frac{G_F^2 m_b^5}{192\pi^3} |V_{cb}|^2 \left[ \left[ 1 + A_{ew} \right] A_{nonpert} A_{pert} \right]$$

non perturbative parameters need to be measured and arise at each order

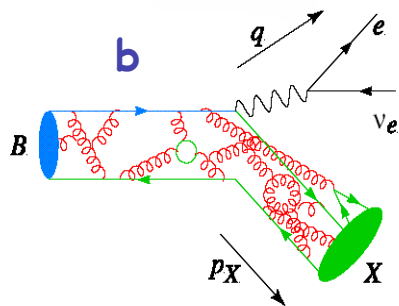
$$\langle X^n \rangle (E_{cut}) = \frac{\int (X - X^0)^n \frac{d\Gamma}{dX} dX}{\int \frac{d\Gamma}{dX} dX} \Bigg| \cong f'_{OPE}(m_b, m_c, a_i)$$

$X^n$ : sensitivity to non-perturbative parameters evaluated on part of the spectrum ( $p_l > p_{min}$ ) in the B rest frame

expansions depend on  $m_b$  definition

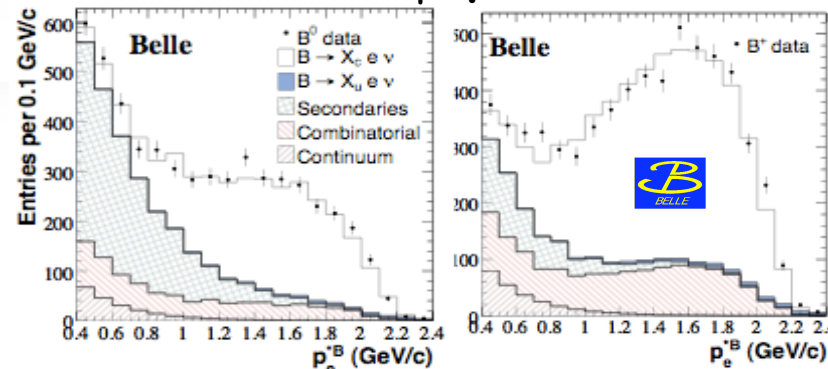
# Heavy quark parameter determination- Big Picture

Semileptonic B decay

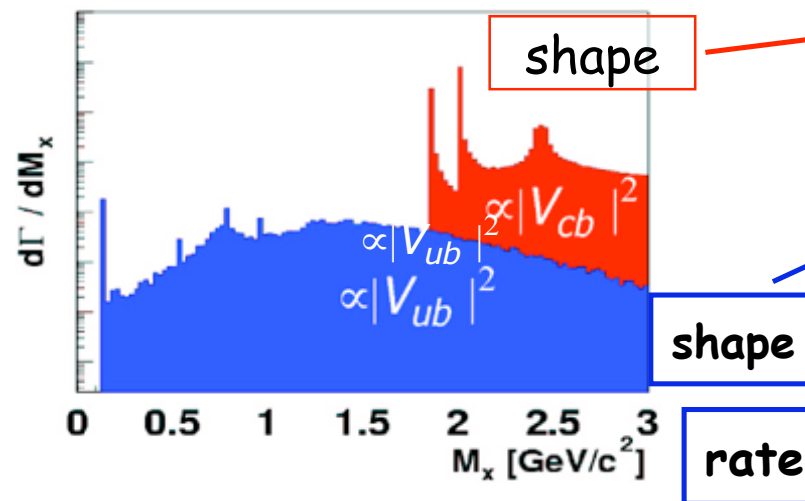


Experimental Challenge: go from the measured shape to the true shape

Inclusive  $E_l$  spectrum



Inclusive  $M_X$  spectrum



rate

shape

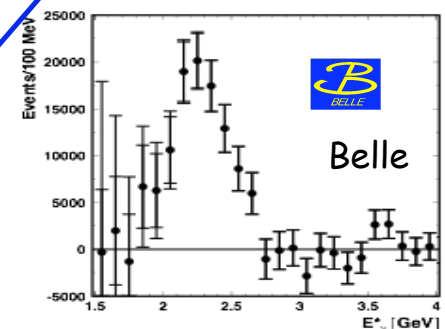
$|V_{cb}|$

$m_b, m_c$

$\mu_G^2, \mu_\pi^2$

$|V_{ub}|$

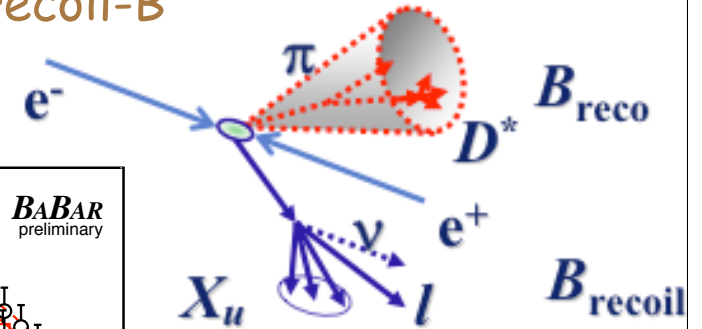
$Shape(B \rightarrow X_s \gamma)$



# moments in semileptonic decays

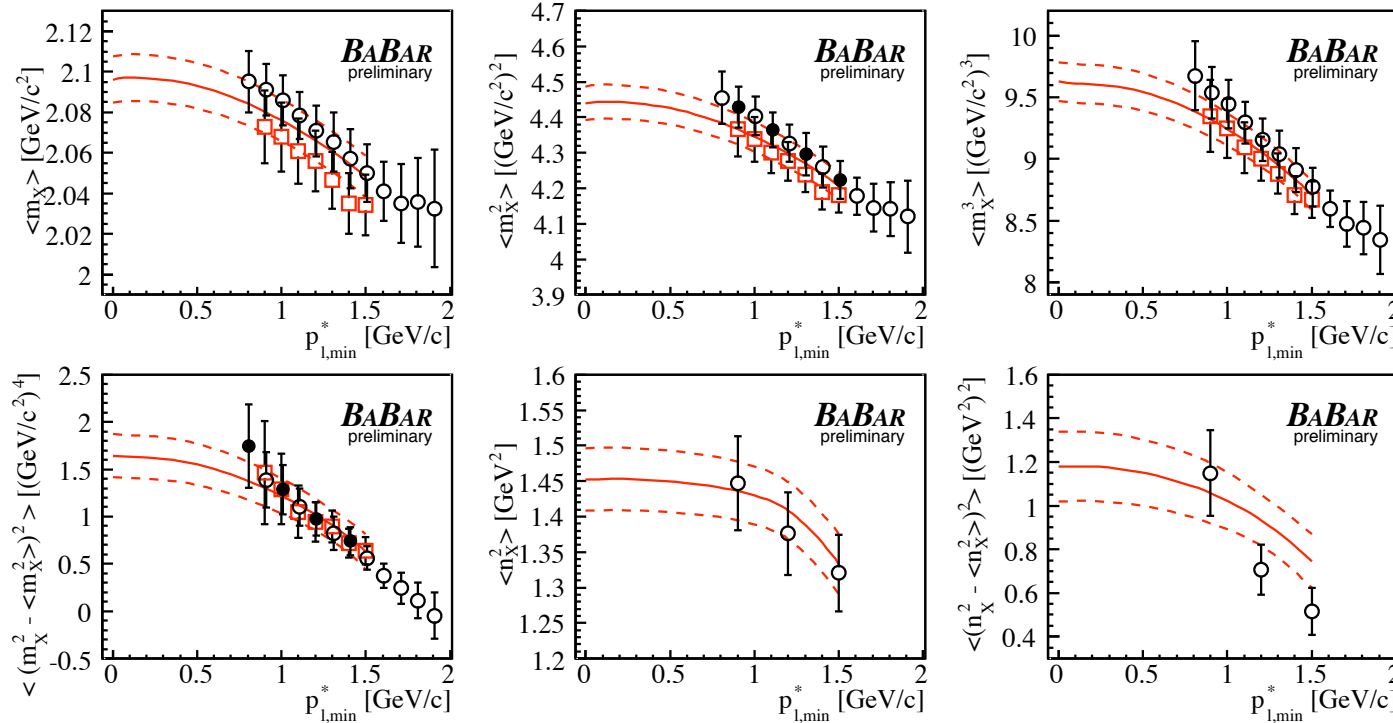
fully-reconstructed B meson B flavor and momentum known.

rest of the event contains one "recoil" lepton in the recoil-B



$\langle m_X^n \rangle$  new

$\langle m_X^n \rangle$  old



Fit moments of these distribution to get  $V_{cb}$  and HQ parameters

# Heavy quark parameters

$E_l$  : lepton energy spectrum in  $B \rightarrow X_c l \nu$  (BaBar Belle CLEO DELPHI)

$M_X^2$ : hadronic mass spectrum in  $B \rightarrow X_c l \nu$  (BaBar CDF CLEO DELPHI)

$E_\gamma$  : photon energy spectrum in  $B \rightarrow X_s \gamma$  (Babar Belle CLEO)

Decay rate in terms of Operator Product Expansion up to  $1/m_b^3$

Expansions in terms of:

Two approaches:

**Kinetic running mass**

(P. Gambino and N.Uraltsev, Eur. Phys. J. C 34, 181 (2004))

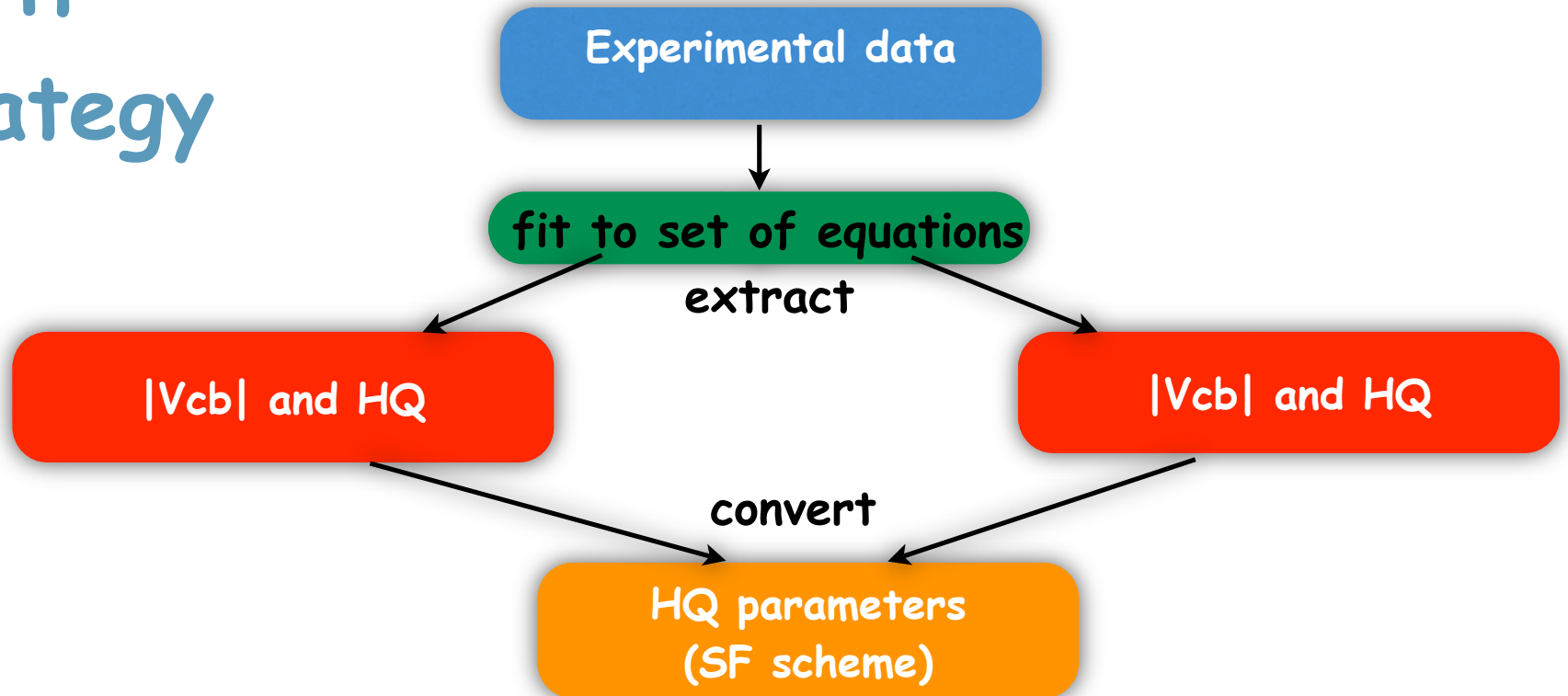
**1S mass**

(C.Bauer, Z.Ligeti, M.Luke, A.Manohar, M.Trott PRD 70 094017)

	$m_b^{\text{kin}}, m_c^{\text{kin}} (m_b^{1S})$ - mass of b and c quarks
$\Delta_{QCD}^2/m_b^2$	$\mu_\pi^2(\lambda_1)$ - kinetic energy of b quark, $\mu_G^2(\lambda_2)$ - chromomagnetic coupling
$\Delta_{QCD}^3/m_b^3$	$\rho_D, \rho_{LS} (\rho_1, \tau_{1-3})$

... and  $|V_{cb}|^2$  dependence on partial branching fractions

# Fit Strategy



Without truncation of perturbation theory, any path to a given scheme would lead to the same result, e.g.:

$$[\text{Fit in kinetic scheme}] = [\text{Fit in 1S scheme}] \oplus [\text{Translation: 1S} \rightarrow \text{kinetic}]$$

In practice, results differ at finite order in  $\alpha_s$ .

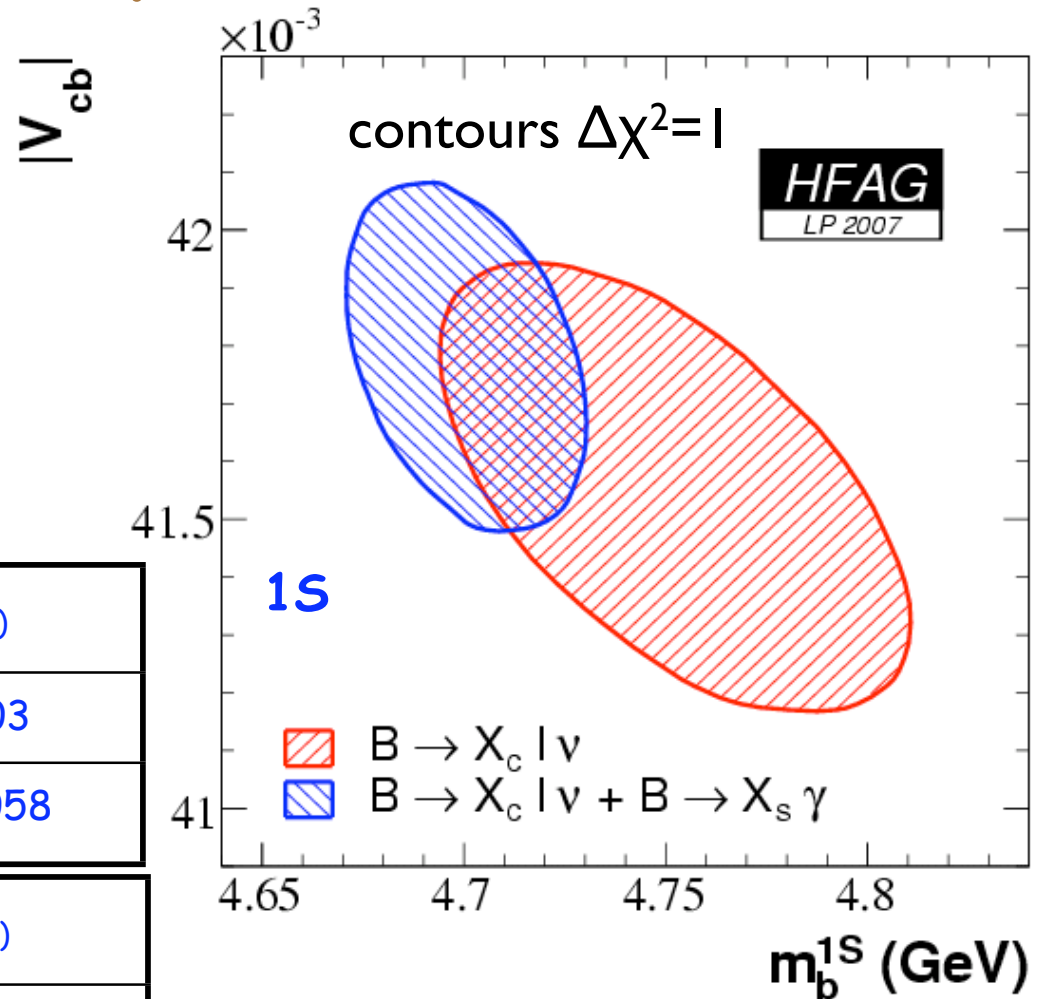
# $V_{cb}$ and HQ parameters

Global fit with all available results (except the latest BaBar moments)

1S	$V_{cb} (10^{-3})$	$m_b (GeV)$
	$41.78 \pm 0.30 \pm 0.08$	$4.70 \pm 0.03$
no $b \rightarrow s\gamma$	$41.56 \pm 0.39 \pm 0.08$	$4.751 \pm 0.058$

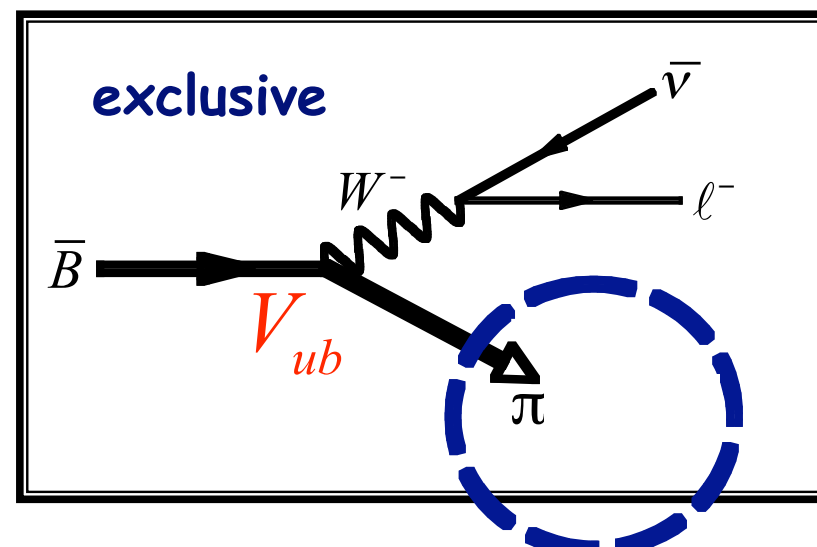
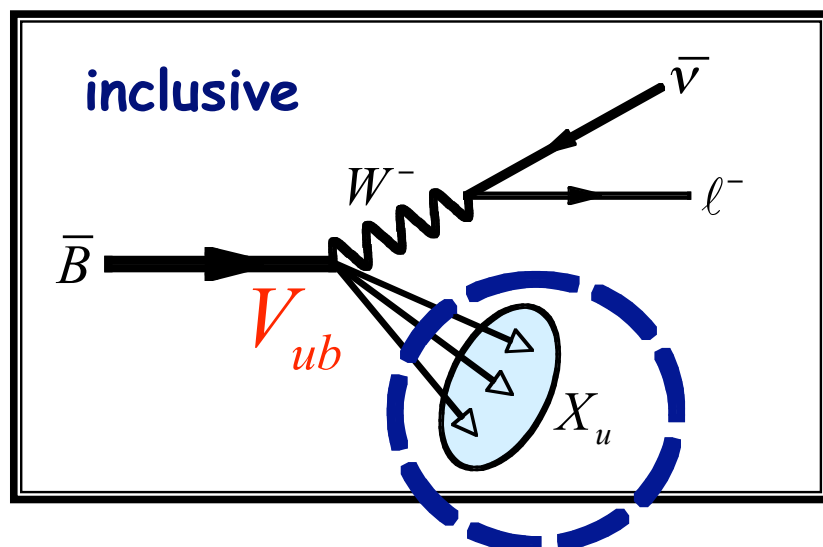
Kinetic	$V_{cb} (10^{-3})$	$m_b (GeV)$
	$41.91 \pm 0.19 \pm 0.28 \pm 0.5$	$4.613 \pm 0.022 \pm 0.027$
no $b \rightarrow s\gamma$	$41.68 \pm 0.39 \pm 0.58$	$4.677 \pm 0.053$





$V_{ub}$

## $V_{ub}$ determination



Uncertainty dominated by theory errors, measurements with different methods

- **Inclusive**  $B \rightarrow X_u \ell \nu$ 
  - Use difference in kinematics to separate  $u\ell\nu$  from  $c\ell\nu$
  - Theory must predict signal spectrum
- **Exclusive**  $B \rightarrow \pi \ell \nu, \rho \ell \nu, \omega \ell \nu, \dots$ 
  - Better S/B, esp.
  - Theory must predict form factor

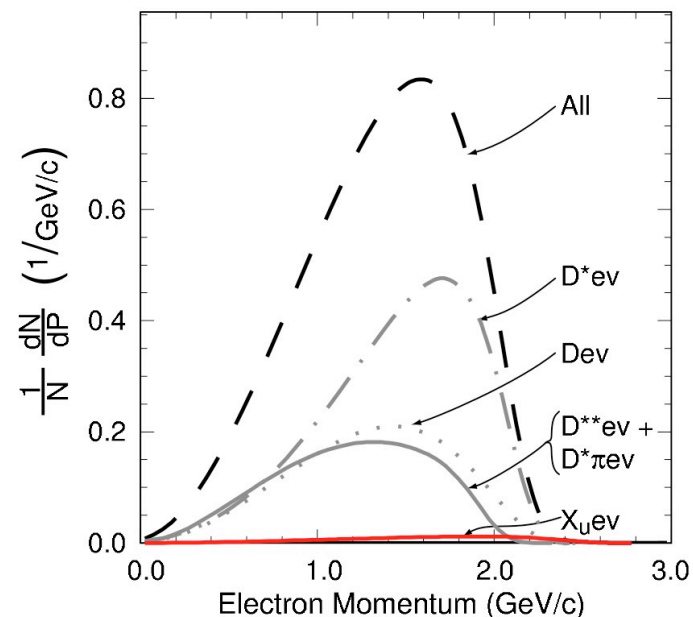
# $V_{ub}$ inclusive determination

$B \rightarrow X_u \ell \bar{\nu}$  tree level rate same as  $B \rightarrow X_c \ell \bar{\nu}$   
very small, very difficult to measure

the problem is the  $b \rightarrow c \ell \bar{\nu}$  decay

$$\frac{\Gamma(b \rightarrow u \ell \bar{\nu})}{\Gamma(b \rightarrow c \ell \bar{\nu})} \approx \frac{|V_{ub}|^2}{|V_{cb}|^2} \approx \frac{1}{50}$$

selection to remove background removes a sizeable part of the phase space. Need theoretical extrapolation for the full phase space (Shape Function, DGE, Aglietti et al,....).



# $V_{ub}$ inclusive determination

- Cut away  $b \rightarrow clv$  Lose a part of the  $b \rightarrow ulv$  signal

- We measure

Total  $b \rightarrow ulv$

$$\Gamma(B \rightarrow X_u \ell \nu) \times f_C = |V_{ub}|^2 \xi_C$$

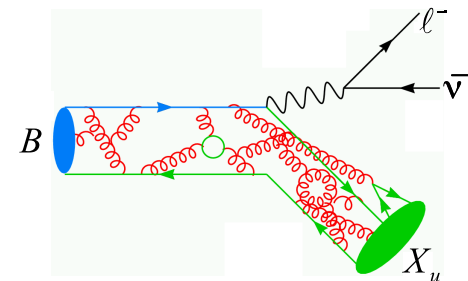
Cut-dependent  
constant  
predicted

Fraction of the signal that surviving

Need knowledge of  $b$  quark's motion inside  $B$  meson

- Must be corrected for QCD

$$\Gamma(B \rightarrow X_u \ell \nu) = \frac{G_F^2 |V_{ub}|^2 m_b^5}{192\pi^3} \left[ 1 - O\left(\frac{\alpha_s}{\pi}\right) - \frac{9\lambda_2 - \lambda_1}{2m_b^2} + \dots \right]$$



- Main uncertainty ( $\pm 5\%$ ) from  $m_b^5 \rightarrow \pm 2.5\%$  on  $|V_{ub}|$ , correlated between all measurements/experiments!

# B meson - "Beam"

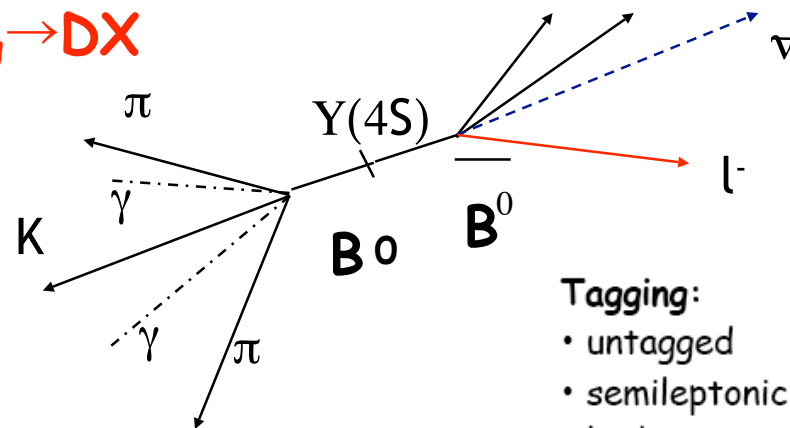
Fully reconstruct the tag-side B meson by searching the decay modes

→  
B<sup>+</sup> and B<sup>0</sup> decays  
studied separately

tag - charge - momentum

B<sub>tag</sub> → DX

B<sub>sig</sub> → XI ν



Tagging:

- untagged
- semileptonic tag
- hadronic tag

low purity,  
high statistics

high purity,  
low statistics

E<sub>lepton</sub>: in rest frame of signal B

M<sub>X</sub>: all remaining particles (X),

Suppress b → clν events:

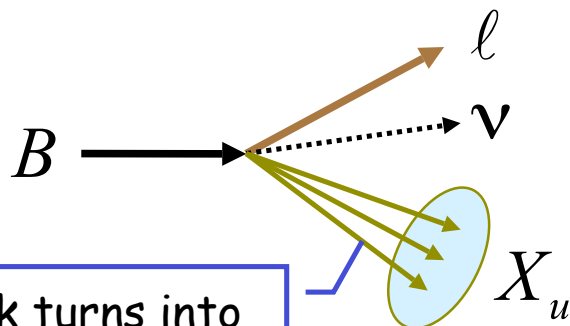
- E<sub>e</sub>
- E<sub>e</sub>/q<sup>2</sup>
- m<sub>X</sub>, m<sub>X</sub>/q<sup>2</sup>, p<sup>+</sup>

low  
acceptance

high  
acceptance

# Inclusive $b \rightarrow ul\nu$

$m_u \ll m_c \rightarrow$  difference in kinematics



u-quark turns into  
one or more

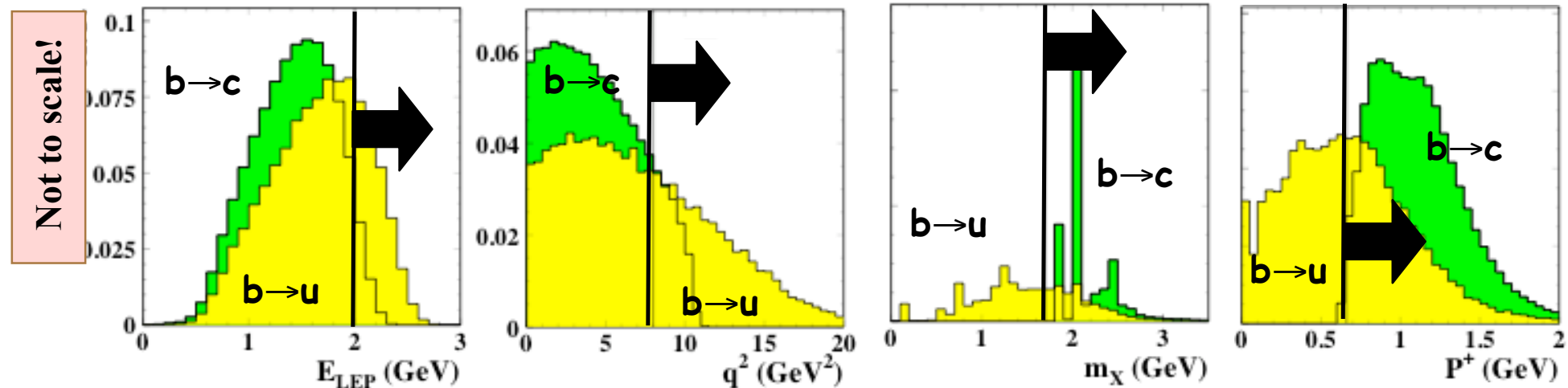
$E_l$  = lepton energy

$q^2$  = lepton-neutrino mass squared

$m_X$  = hadron system mass

$P^+ = E_X - |P_X|$

Signal events have smaller  $M_X$  and  $P_+$   $\rightarrow$  Larger  $E_l$  and  $q^2$



# Relative branching fractions



Number of excess events

Unfolding factor F

PDG

$$\frac{\Delta\text{Br}(X_u l \nu)}{\text{Br}(X l \nu)} = \frac{N_{b \rightarrow u}}{N_{sl}} \cdot \frac{F}{\epsilon_{\text{sel}}}$$

$$\frac{\epsilon_{\text{frec}}^{b \rightarrow u} \epsilon_{\ell}^{b \rightarrow u}}{\epsilon_{\text{frec}}^{sl} \epsilon_{\ell}^{sl}}$$

Number of semileptonic events

Ratio of efficiencies for  $b \rightarrow u$  and  $sl$

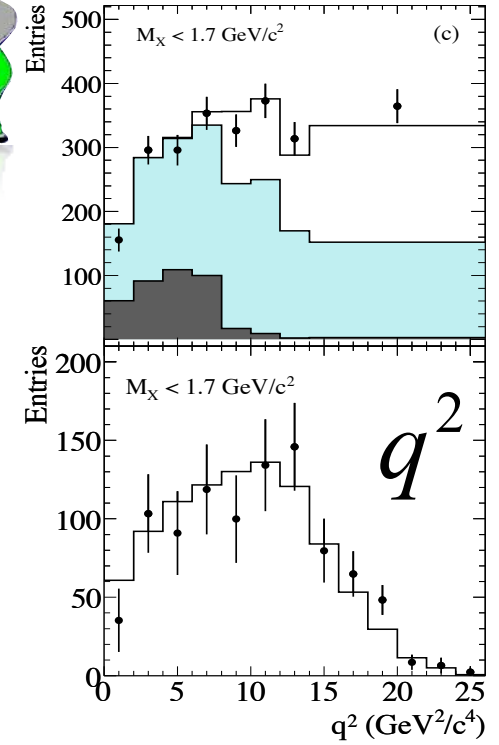
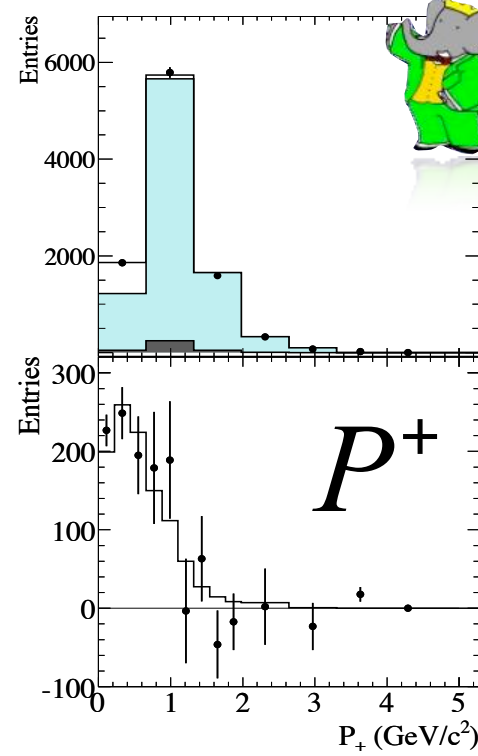
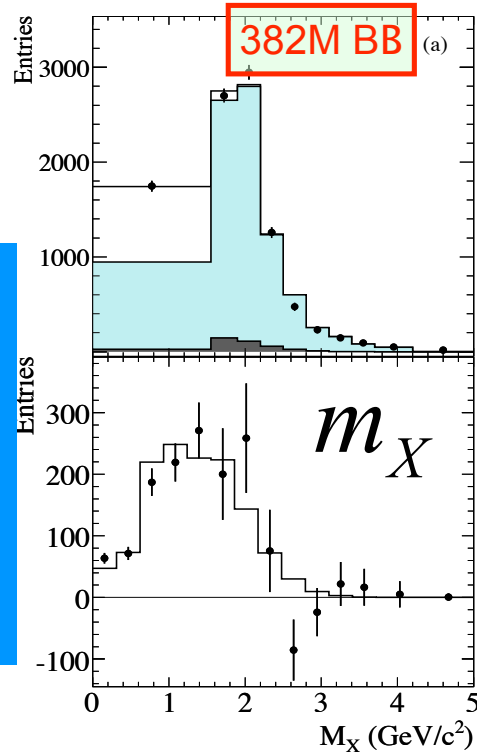
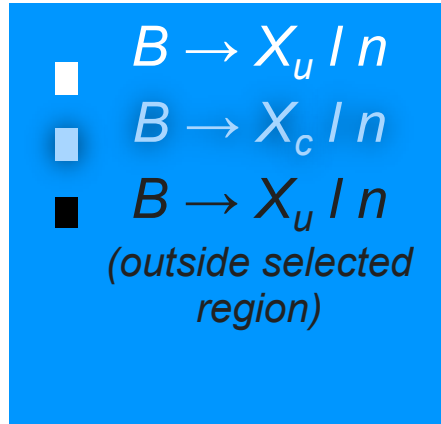
selection efficiency



$$\frac{\Delta\mathcal{B}(X_u \ell \bar{\nu}_{\ell})}{\mathcal{B}(X \ell \bar{\nu}_{\ell})} = \frac{(N_u - N_u^{\text{out}} - BG_u)/(\epsilon_{\text{sel}}^u \epsilon_{\text{kin}}^u)}{(N_{sl} - BG_{sl})} \times \frac{\epsilon_{\ell}^{sl} \epsilon_t^{sl}}{\epsilon_{\ell}^u \epsilon_t^u},$$

# Inclusive

$V_{ub}$

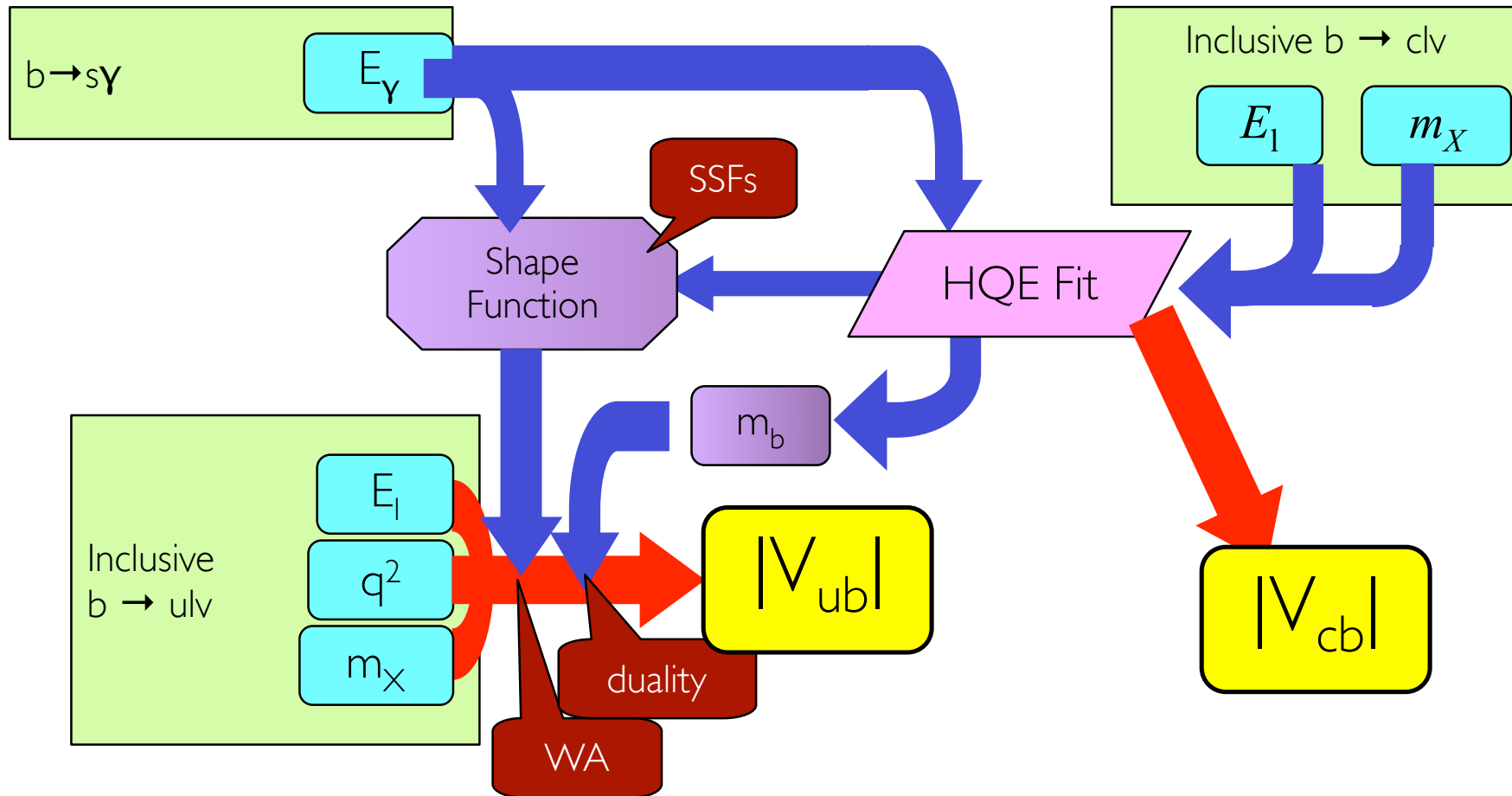


Kinematic Region	$B(B \rightarrow X_u \ell \nu) \times 10^{-3}$			$ V_{ub}  (10^{-3})$			Theory
	$\Delta$ (stat.)	sys.	th. )	$\Delta$ (stat.)	sys.	th. )	
$M_X < 1.55$ GeV/c <sup>2</sup>	$1.18 \pm 0.09 \pm 0.07 \pm 0.01$			$4.27 \pm 0.16 \pm 0.13 \pm 0.30$			BLNP
				$4.56 \pm 0.17 \pm 0.14 \pm 0.32$			DGE
$P_+ < 0.66$ GeV/c <sup>2</sup>	$0.95 \pm 0.10 \pm 0.08 \pm 0.01$			$3.88 \pm 0.19 \pm 0.16 \pm 0.28$			BLNP
				$3.99 \pm 0.20 \pm 0.16 \pm 0.24$			DGE
$M_X < 1.7$ GeV/c <sup>2</sup> & $q^2 > 8.0$ GeV <sup>2</sup> /c <sup>2</sup>	$0.76 \pm 0.08 \pm 0.07 \pm 0.02$			$4.48 \pm 0.22 \pm 0.19 \pm 0.30$			BLNP
				$4.53 \pm 0.22 \pm 0.19 \pm 0.25$			DGE
				$4.81 \pm 0.23 \pm 0.20 \pm 0.36$			BLL



# How Things Mesh Together

AKA: M. Morii's HQE plumbing diagram



# $m_b$ more in details

comparison from  
A. Hoang  
Vxb workshop 2007  
Heidelberg

1S	$m_b$ (GeV)
	$4.70 \pm 0.03$
no $b \rightarrow s\gamma$	$4.751 \pm 0.058$

Kinetic	$m_b$ (GeV)
	$4.613 \pm 0.022 + 0.027$
no $b \rightarrow s\gamma$	$4.677 \pm 0.053$

$$\rightarrow m^{1S} = 4.76$$

$$\rightarrow m^{1S} = 4.83$$

1S fit

Kin fit

	b $\rightarrow$ s $\gamma$	no b $\rightarrow$ s $\gamma$	b $\rightarrow$ s $\gamma$	no b $\rightarrow$ s $\gamma$
$m^{1S}$	4.70	4.75	4.76	4.83
$\overline{m}(\overline{m})$	4.18	4.22	4.23	4.30

$$\overline{m}(\overline{m})_{\text{PDG}} = 4.20 \pm 0.07 \text{ GeV}$$

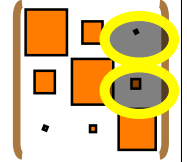
(2008, only BELLE)

	$m_1^{\text{kin}}$ GeV	$m^{1S}$
	$4.57 \pm 0.13$	$4.72 \pm 0.12$
no $b \rightarrow s\gamma$	$4.54 \pm 0.075$	$4.72 \pm 0.06$

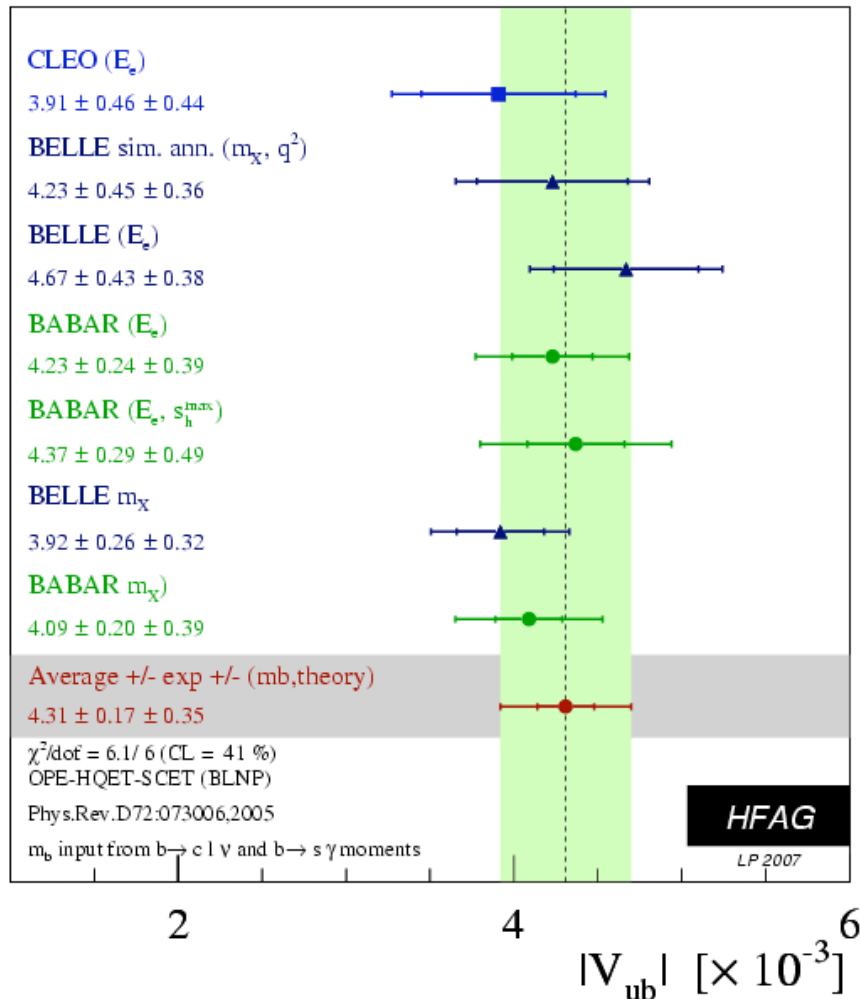
Belle fits are consistent.

It's not the theory!

# Inclusive $|V_{ub}|$ : BLNP framework



$|V_{ub}|$  world average



$|V_{ub}|$  determined to  $\pm 8.9\%$

HQ parameters from  $b \rightarrow cl\nu$  and  $b \rightarrow sg$

- $m_b(\text{SF}) = 4.63 \pm 0.06 \text{ GeV}$

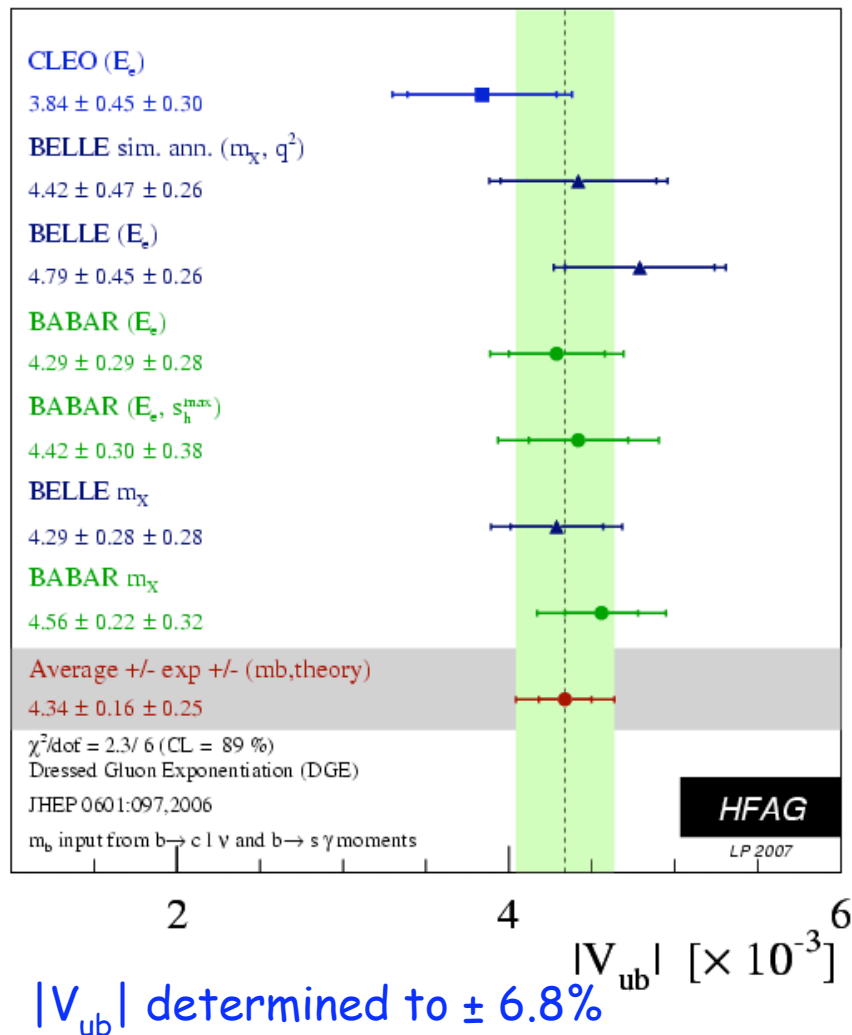
- $\mu_\pi^2(\text{SF}) = 0.18 \pm 0.06 \text{ GeV}^2$

$$|V_{ub}| = (4.31 \pm 0.17 \pm 0.35) 10^{-3}$$

Statistical	$\pm 2.0\%$
Expt. syst.	$\pm 2.6\%$
$b \rightarrow cl\nu$ model	$\pm 1.8\%$
$b \rightarrow ul\nu$ model	$\pm 1.1\%$
SF params.	$\pm 3.8\%$
HQE param.	$\pm 6.9\%$
WA	$\pm 1.7\%$

# Inclusive $|V_{ub}|$ : DGE framework

$|V_{ub}|$  world average



b-mass form PDG

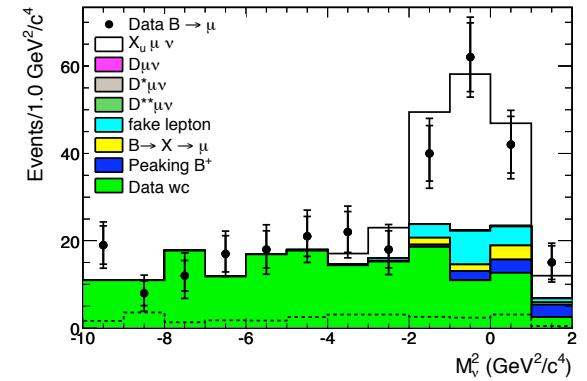
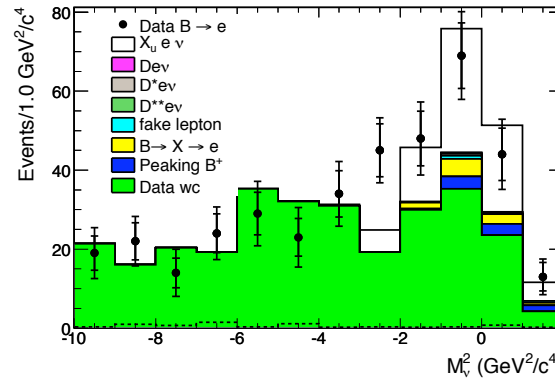
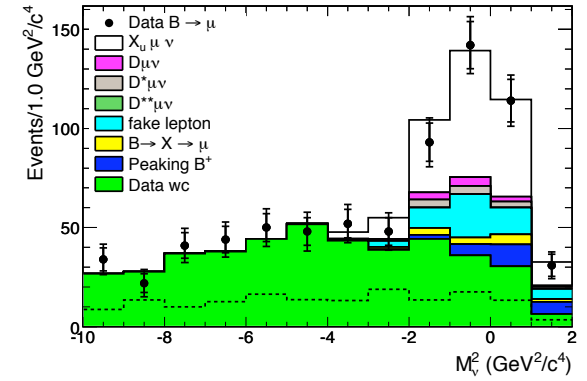
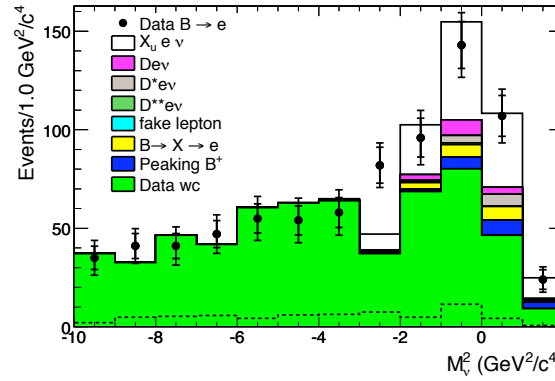
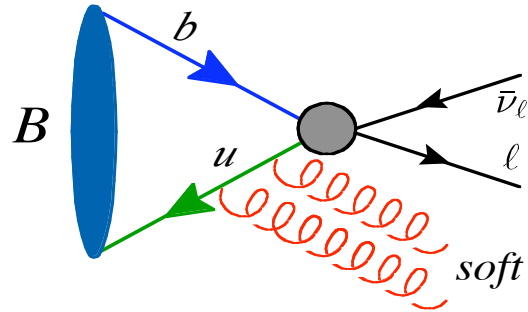
- $m_b(\text{MSbar}) = 4.20 \pm 0.07 \text{ GeV}$

$$|V_{ub}| = (4.34 \pm 0.16 \pm 0.25) 10^{-3}$$

Statistical	$\pm 2.0\%$
Expt. syst.	$\pm 2.4\%$
$b \rightarrow cl\nu$ model	$\pm 1.9\%$
$b \rightarrow ul\nu$ model	$\pm 1.0\%$
DGE theory	$\pm 3.1\%$
$R_{\text{cut}}$ +total width	$\pm 4.2\%$
WA	$\pm 1.9\%$

# Weak annihilation

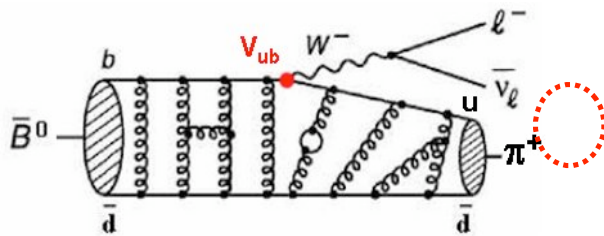
Different BR between  $B^+$  and  $B^0$



$\Delta p$	$\Delta B(B) \cdot 10^4$	$\Delta B(B^0) \cdot 10^4$	$A^{+/-}$
2.3-2.6	$2.31 \pm 0.10 \pm 0.18$	$1.30 \pm 0.21 \pm 0.07$	$0.08 \pm 0.15 \pm 0.08$
2.4-2.6	$0.75 \pm 0.04 \pm 0.06$	$0.76 \pm 0.15 \pm 0.05$	$-0.05 \pm 0.20 \pm 0.10$



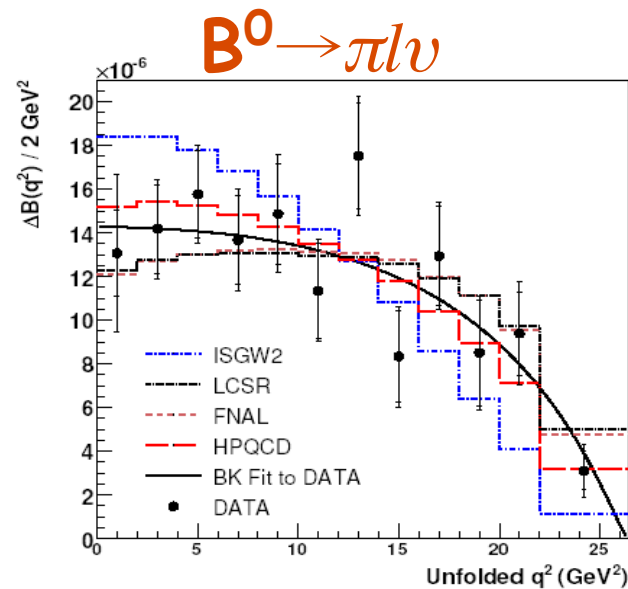
# Exclusive $|V_{ub}|$



Experimentally clean

$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2}{24\pi^2} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

Currently only  $B \rightarrow \pi \ell \nu$  for  $|V_{ub}|$  - one dominant form factor ( $q^2$  shape and normalization needed)



- Form factor calculations from various methods:
  - "unquenched" lattice QCD (HPQCD, Fermilab, ...)
  - Light-Cone Sum Rules (Ball & Zwicky, ...)
  - quark models (ISGW2, ...)



**FF dominates  $|V_{ub}|$  error**

Phys.Rev.Lett. 98 (2007) 091801

LQCD and LCSR compatible with data  
ISGW2 quark-model incompatible (Prob<0.06%).

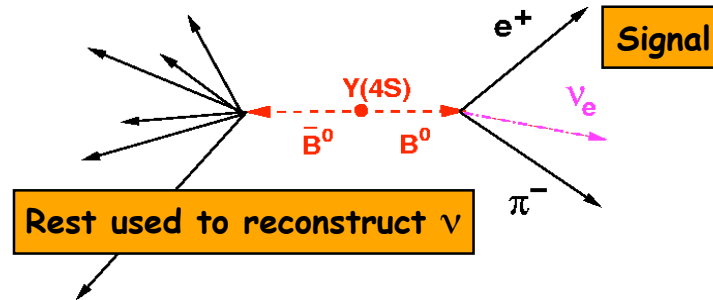
E. Barberio

30

# Approaches to Measuring $B(B \rightarrow X_u | \nu)$ Exclusive

## Untagged

- ▶ Initial 4-momentum known.
- ▶ Missing 4-momentum =  $\nu$ .
- ▶ Reconstruct  $B \rightarrow X_u | \nu$  using  $m_B$  (beam-constrained) and  $\Delta E = E_B - E_{\text{beam}}$ .



Effi. Purity

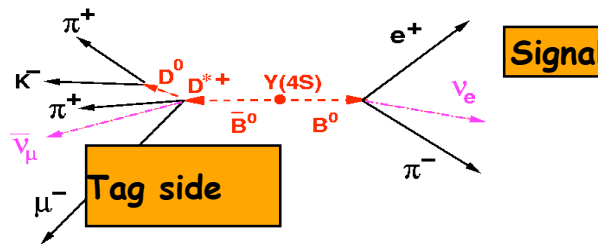
High Low

Lumi.

<  $0.5 \text{ ab}^{-1}$

## Semileptonic Tag

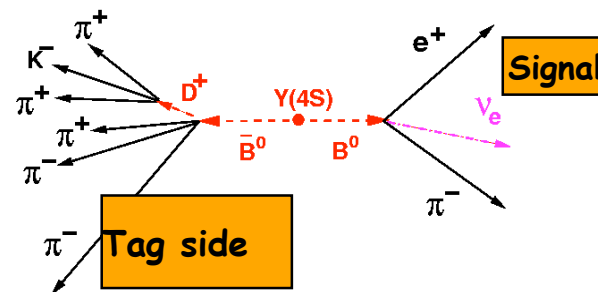
- ▶ One B reconstructed in a selection of  $D^{(*)} | \nu$  modes.
- ▶ Two missing  $\nu$ s in event.
- ▶ Use kinematic constraints.



<  $1 \text{ ab}^{-1}$

## Full Reconstruction Tag

- ▶ One B reconstructed completely in known  $b \rightarrow c$  mode.
- ▶ Many modes used.



>  $1 \text{ ab}^{-1}$

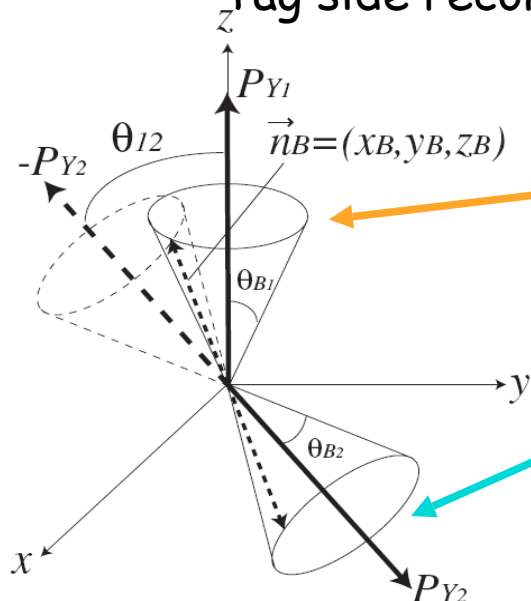
Low High

E. Barberio



# $D^{(*)}l\nu$ tag Method

Tag side reconstruction



$$B^0_{\text{tag}} \rightarrow D^{*+}l^- \nu / D^+l^- \nu$$

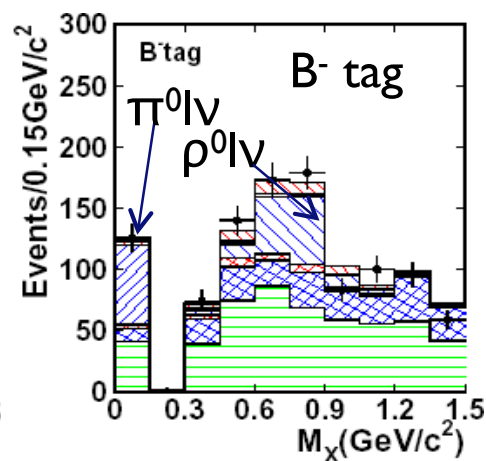
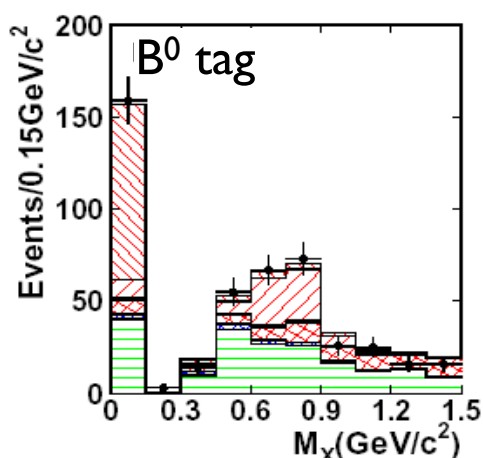
$$B^-_{\text{tag}} \rightarrow D^{*0}l^- \nu / D^0l^- \nu$$

Signal

$$B^0_{\text{sig}} \rightarrow \pi^-l^+ \nu / \pi^- \pi^0 l^+ \nu$$

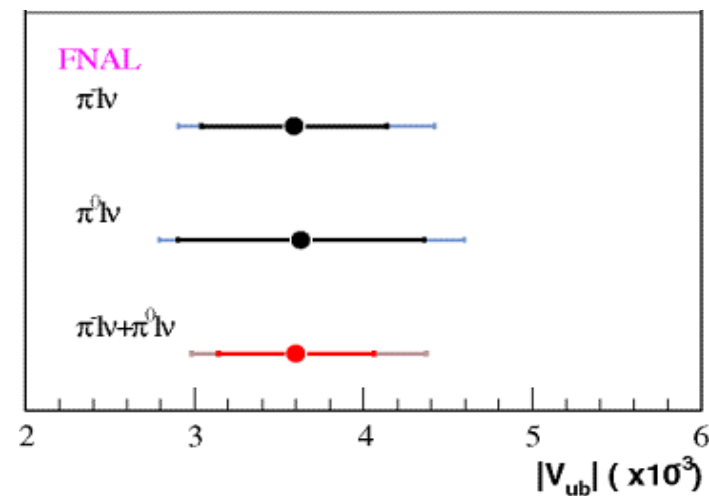
$$B^+_{\text{sig}} \rightarrow \pi^0 l^+ \nu / \pi^+ \pi^- l^+ \nu$$

$\rho^-$   
 $\rho^0$



253 fb<sup>-1</sup>  
(275M BB pairs)

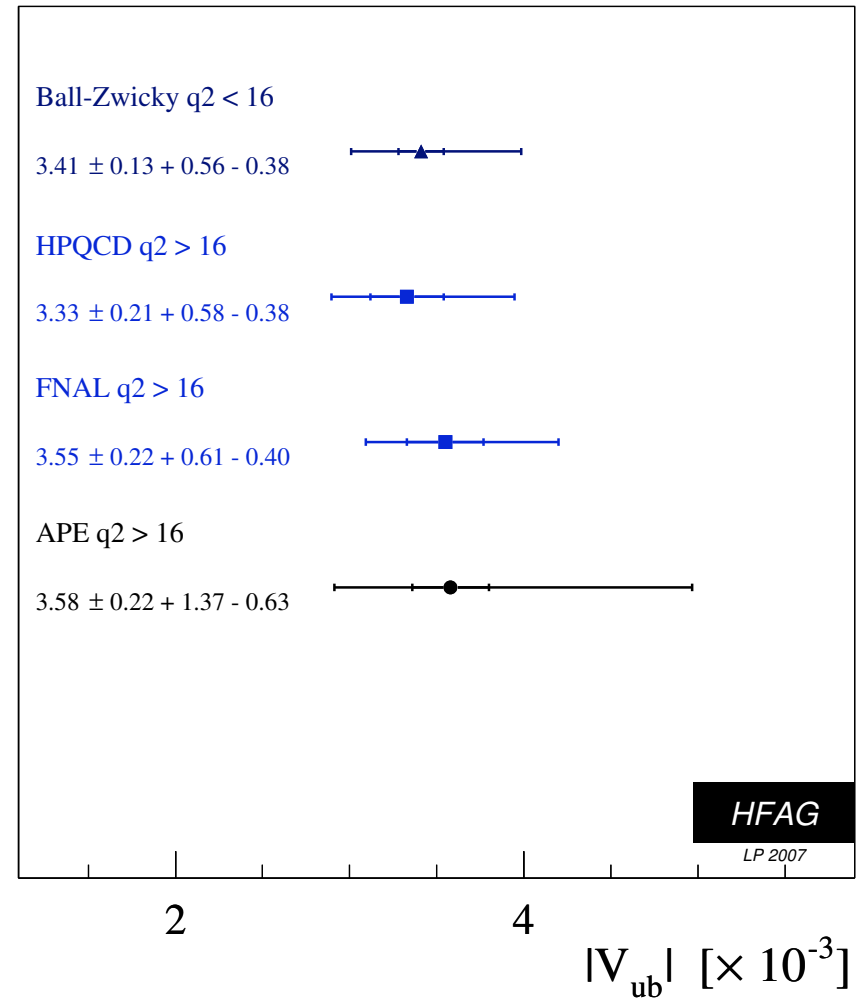
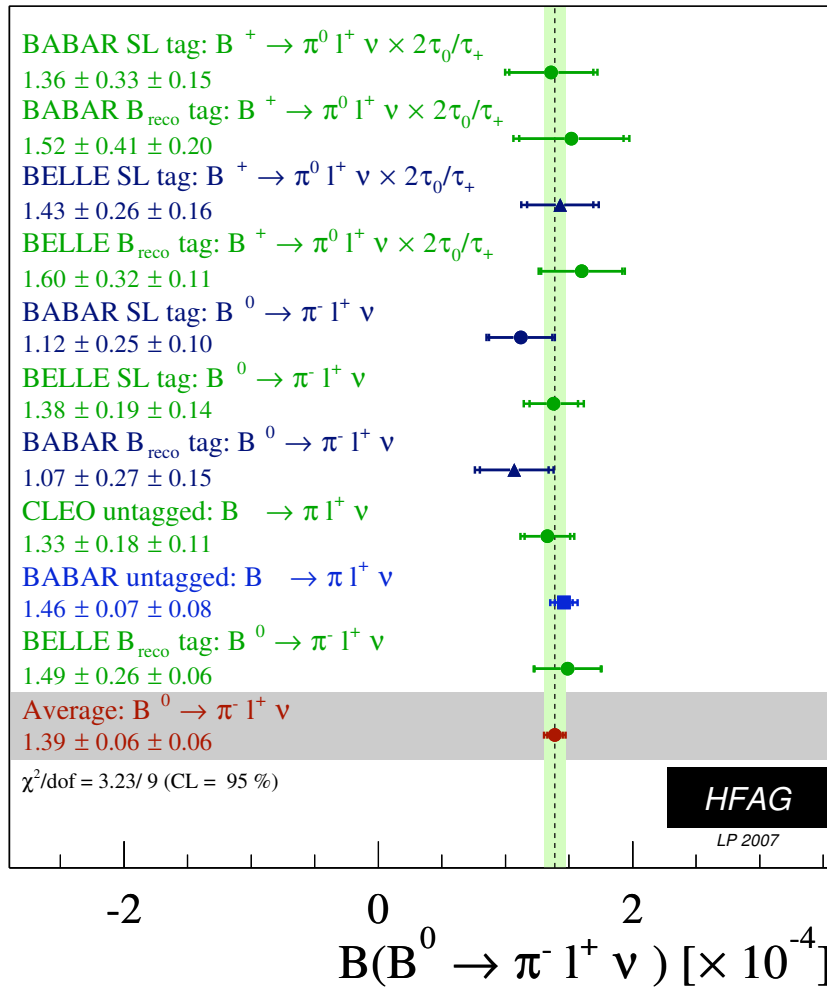
Full  $q^2$  region



E. Barberio

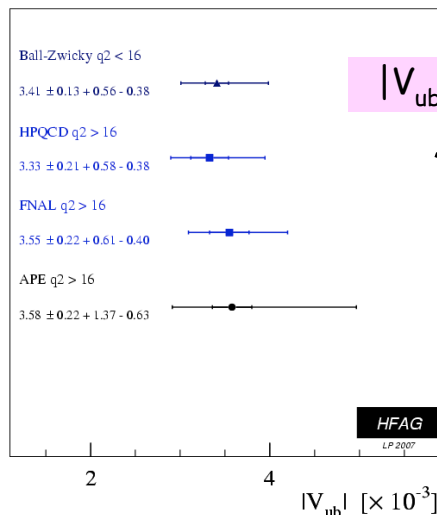


# Current status of $\text{Br}(B^0 \rightarrow \pi^- l^+ \nu)$ & $|V_{ub}|$

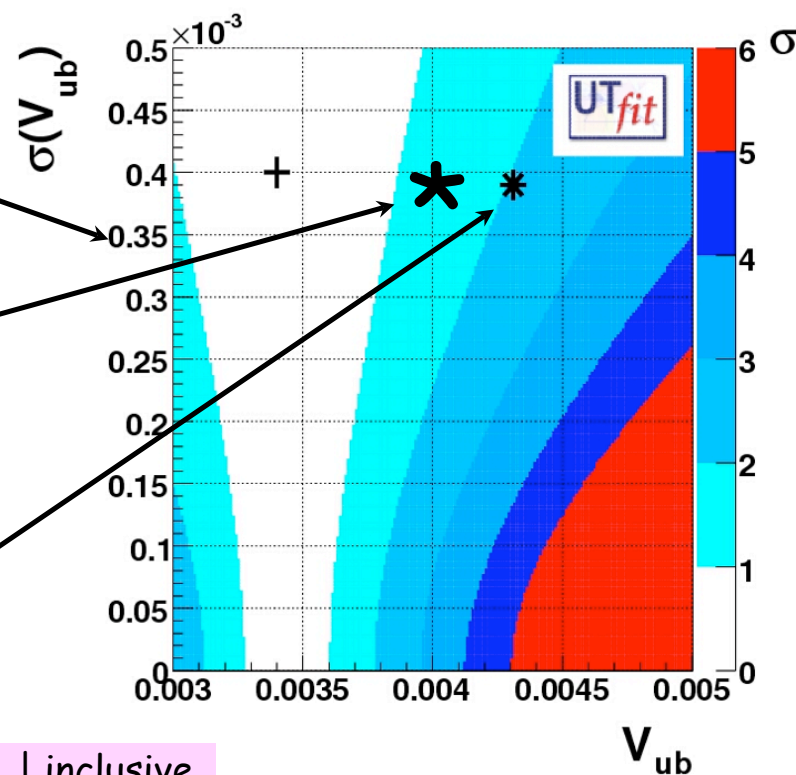
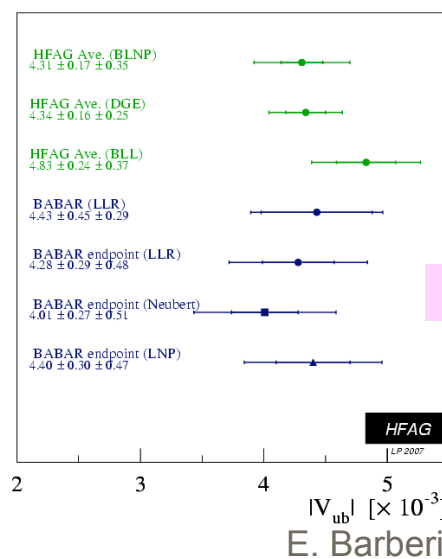
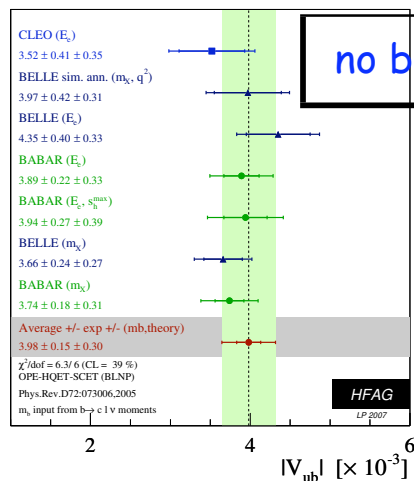


# $|V_{ub}|$ : inclusive vs exclusive

Most probable value of  $V_{ub}$  from measurements of other CKM parameters



**$|V_{ub}|$  inclusive**



E. Barberio

# CONCLUSION AND OUTLOOK

$$b \rightarrow cl \nu$$

$V_{cb}$  1% error with the inclusive determination dominated by theory and inclusive versus exclusive are increasing the gap  $\sim 2 \sigma$

$$b \rightarrow ul \nu$$

$V_{ub}$   $\sim 8\%$  error shared between theoretical and experimental inclusive vs exclusive are decreasing the gap but....

The  $B \rightarrow D^{**} l \nu$  decays are challenging the theory...